



Attorney Docket No. 59333.8026.US02

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Date: Jan. 22, 2003

By: Tiffany N. Lewis

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Gough et al.

APPLICATION No.: 09/997,322

FILED: November 27, 2001

FOR: **METHOD AND APPARATUS FOR THE
PRODUCTION, DELIVERY, AND RECEIPT
OF AUDIOVISUAL E-MAIL**

EXAMINER: UNKNOWN

ART UNIT: 2177

CONFIRMATION No.: 3459

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**Information Disclosure Statement Within Three Months of
Application Filing or Before First Action – 37 CFR 1.97(b)**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

Copies of the following references are enclosed:

- All cited references
- References marked by asterisks
- The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like

reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

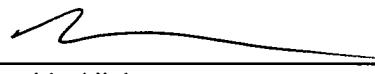
No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-2207.

5. Patent Term Adjustment (37 CFR 1.704(d))

- The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,
Perkins Coie LLP

Date: 1-22-03


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INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO-1449 (Modified) JAN 27 2003 Use several sheets if necessary Street 1 of 2 TRADEMARK OFFICE			COMPLETE IF KNOWN	
			Application Number	09/997,322
			Filed	November 27, 2001
			First Named Inventor	Gough
			Group Art Unit	2177
			Examiner Name	Unknown
			Atty Dkt No.	59333.8026.US02

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Figures Appear
		NUMBER	Kind Code (if known)			
		6,449,635 B1		Tilden, Jr. et al.	09/10/02	
		6,405,244 B1		Bando et al.	06/11/02	
		5,790,789		Suarez	08/04/98	
		6,199,103 B1		Sakaguchi et al.	03/06/01	
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		6,332,156 B1		Cho et al.	12/18/01	
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		6,219,054 B1		Komoda et al.	04/17/01	
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		6,427,164		Reilly	07/30/02	
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Examiner Initial	Cite No.	Foreign Patent or Application			Name of Patentee or Applicant of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Figures Appear	T
		Office	NUMBER	Kind Code (if known)				
		PCT	WO 98/58321					
		PCT	WO 98/58332					

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.					T
		The Internet article, "Death to Spam, A Guide to Dealing with Unwanted E-Mail" (herein after "Death to Spam) obtained from the World Wide Web Address http://www.mindworkshop.com/alchemy/nospam.html on 9/21/99.					
		The Internet article, "What can you do about bad email?" (herein after "Bad Email") obtained from the World Wide Web address http://www.oitc.com/Disney/WhatToDo.html , on 9/21/99.					

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to application(s).	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO-1449 (Modified) (Use several sheets if necessary)		COMPLETE IF KNOWN	
		Application Number	09/997,322
		Filed	November 27, 2001
		First Named Inventor	Gough
		Group Art Unit	2177
		Examiner Name	Unknown
		Atty Dkt No.	59333.8026.US02
Sheet 2 of 2			



U.S. PATENT DOCUMENTS				
Examiner Initials	Cite No.	U.S. Patent or Application NUMBER	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document
		5,818,447	Wolf, et al.	10/06/98
		5,859,636	Pandit	01/12/99
		5,884,246	Boucher et al.	03/16/99
		5,740,374	Raffali-Schreinemachers	04/14/98
		5,781,901	Kuzma	07/14/98
		5,826,023	Hall et al.	10/20/98
		5,832,208	Chen et al.	11/03/98
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		6,199,106	Shaw et al.	03/06/01
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		6,212,554	Roskowski	04/03/01
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		6,233,317	Homan et al.	05/15/01
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FOREIGN PATENT DOCUMENTS

Examiner Initial	Cite No.	Foreign Patent or Application			Name of Patentee or Applicant of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Figures Appear	T
		Office	NUMBER	Kind Code (if known)				
		EPO	0 631 419	B1				

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).	